



Summary of Final Board Determination

Godwin Williams

Candidate, 2013, Council District 45

Program participant: no public funds received

1. Failing to demonstrate compliance with cash receipts reporting and documentation requirements \$1,087

Campaigns are required to report all cash receipts, deposit them into the bank account listed on the candidate's filer registration and/or certification, and provide the deposit slips for the account to the Board. See Admin. Code §§ 3-703(1)(d), (g), (6), (10), (11), (12), 3-719(1); Board Rules 1-04(a), (b), 2-06(a), 3-03(c), 4-01(a), (b)(1), (3), (f).

The Campaign reported total cash receipts of \$9,095 but did not provide any deposit slips.

The Board assessed a penalty of \$1,087 for this violation.

2. Failing to file a disclosure statement \$359

Campaigns are required to file complete and timely disclosure statements on scheduled dates. See N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8), 3-719(1); Board Rules 1-09, 3-02.

The Campaign failed to file Disclosure Statement 12.

The Board assessed a penalty of \$359 for this violation.

3. Late response to audit documentation and information requests (Initial Documentation Request, Draft Audit Report, other requests) No penalty

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1), 3-719(1)(b); Board Rules 1-09(a), 4-01, 4-05(a).

The Campaign responded to the Initial Documentation Request on January 24, 2014, 28 days late. The Campaign responded to the Draft Audit Report on September 16, 2014, 1 day late.

The Board did not assess a penalty for these violations.