



Summary of Final Board Determination

Debi Rose

Candidate, 2013, Council District 49

Program participant: \$92,100 in public funds received

1. Failing to report transactions \$53

Campaigns are required to properly report all financial transactions to the Board. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign did not report transactions totaling \$774 that appear on its bank statements. Additionally, the Campaign did not properly report the name of the vendor for an advance in the amount of \$2,000 made on September 7, 2012. The candidate was reported as the vendor.

The Board assessed a penalty of \$53 for these violations.

2. Accepting an over-the-limit contribution \$250

Campaigns are prohibited from accepting contributions in excess of the applicable contribution limit. *See* Admin. Code §§ 3-702(8), 3-703(1)(f), (11); Board Rules 1-04(c)(1), (h), 1-07(c). In addition, campaigns may not accept contributions in excess of the “doing business” contribution limits from individuals or entities that have business dealings with the City: \$250 (for candidates for City Council). *See* Admin. Code §§ 3-702(8), (18), (20), 3-703 (1-a), (1-b); Board Rules 1-04(c)(1), (h).

The Campaign accepted contributions totaling \$300 (\$50 over the limit) from a contributor who has business dealings with the City. The Campaign refunded the \$50 overage on October 9, 2013, after the due date of April 24, 2013.

The Board assessed a penalty of \$250 for this violation.

3. Accepting a contribution from a corporation \$125

Campaigns may not accept, either directly or by transfer, a campaign contribution or loan, or guarantee or other security for such loan, from any corporation, limited liability company (LLC), or partnership. *See* N.Y.C. Charter § 1052(a)(13); Admin. Code §§ 3-702(8), 3-703(1)(l); Board Rules 1-04(c)(1), (e), (g), 1-05.

The Campaign accepted a \$200 contribution from Choir Boys Club, a registered corporation, on February 1, 2013. The Campaign refunded the contribution promptly after notice from staff.

The Board assessed a penalty of \$125 for this violation.



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4. Making impermissible post-election expenditures \$137

After an election and before repaying leftover campaign funds to the Board, participants may spend campaign funds only to pay campaign-related expenses incurred in the preceding election and for “routine activities involving nominal cost associated with winding up a campaign and responding to the post-election audit.” *See* Admin. Code §§ 3-702(21)(a)(8), 3-703(1)(d), (g), (6), (11), 3-710(2)(c); Board Rules 1-03(a), 1-08(b), 5-03(e)(2).

The Campaign made expenditures totaling \$549.55 that appear to be impermissible post-election expenditures due to the timing, amount, and/or purpose reported by the Campaign.

The Board assessed a penalty of \$137 for this violation.